



PARADIM
AN NSF MATERIALS INNOVATION PLATFORM

Lecture #3— NUTS AND BOLTS OF OXIDE MBE: Composition Control and Calibration

Darrell G. Schlom

Nuts and Bolts of Oxide MBE

How to grow your favorite oxide by MBE?

- Lecture #2—*Growth Conditions, Sources, and Crucibles*
- Lecture #3—*Composition Control and Calibration*
- Lecture #4—*Epitaxy, Substrates, and Crystal Growth*

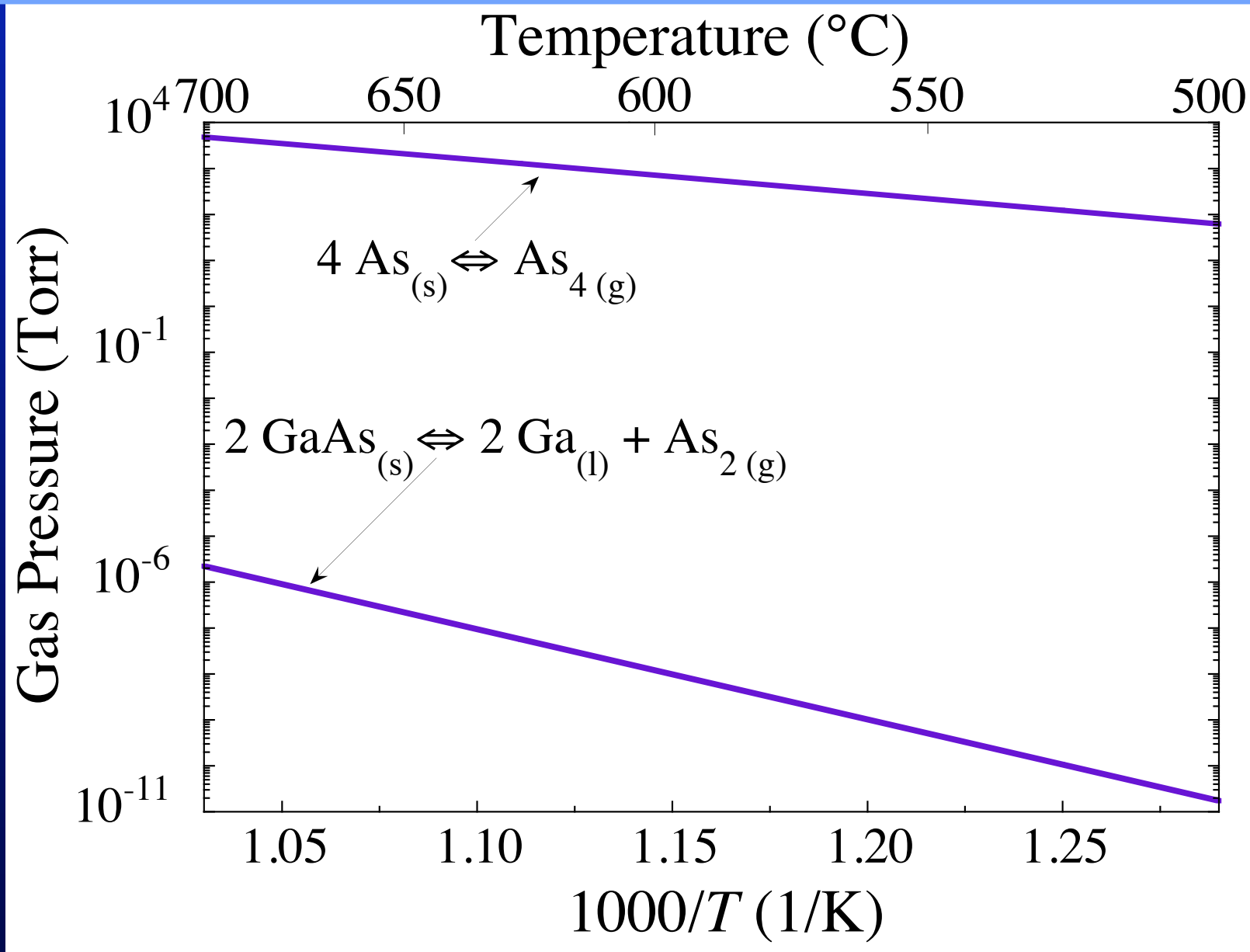
How to Calibrate Growth Rate

- Shadow Mask and Surface Profilometer
- Quartz Crystal Microbalance
- Ion Gauge
- RHEED Oscillations
- Shuttered RHEED Oscillations
- Rutherford Backscattering Spectrometry
- Mass Spectrometer
- Atomic Absorption Spectroscopy
- Atomic Emission Spectroscopy
- X-Ray Reflectivity, Ellipsometry, ...

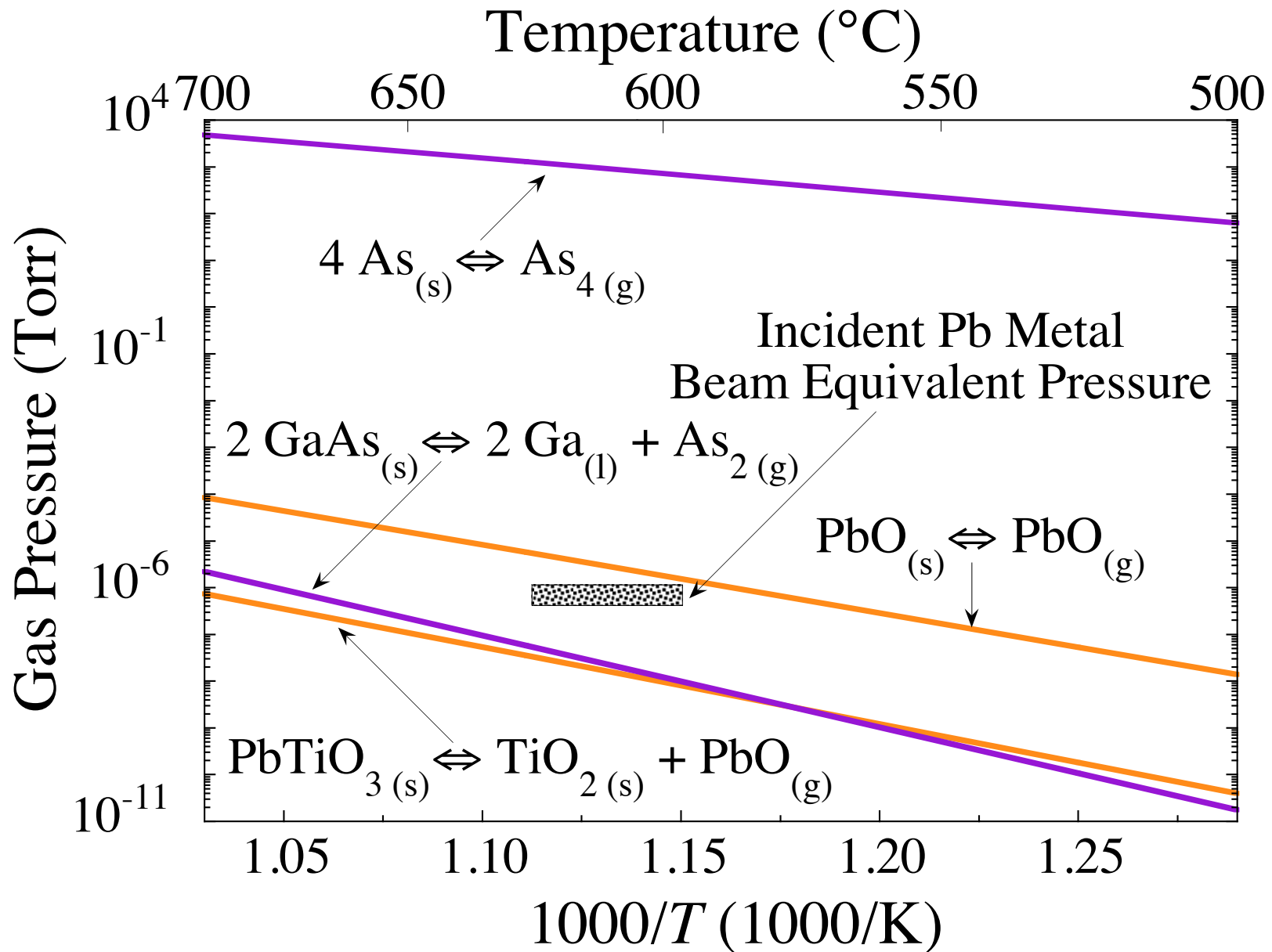
Composition Control

- Adsorption-Controlled Growth
- Flux-Controlled Growth

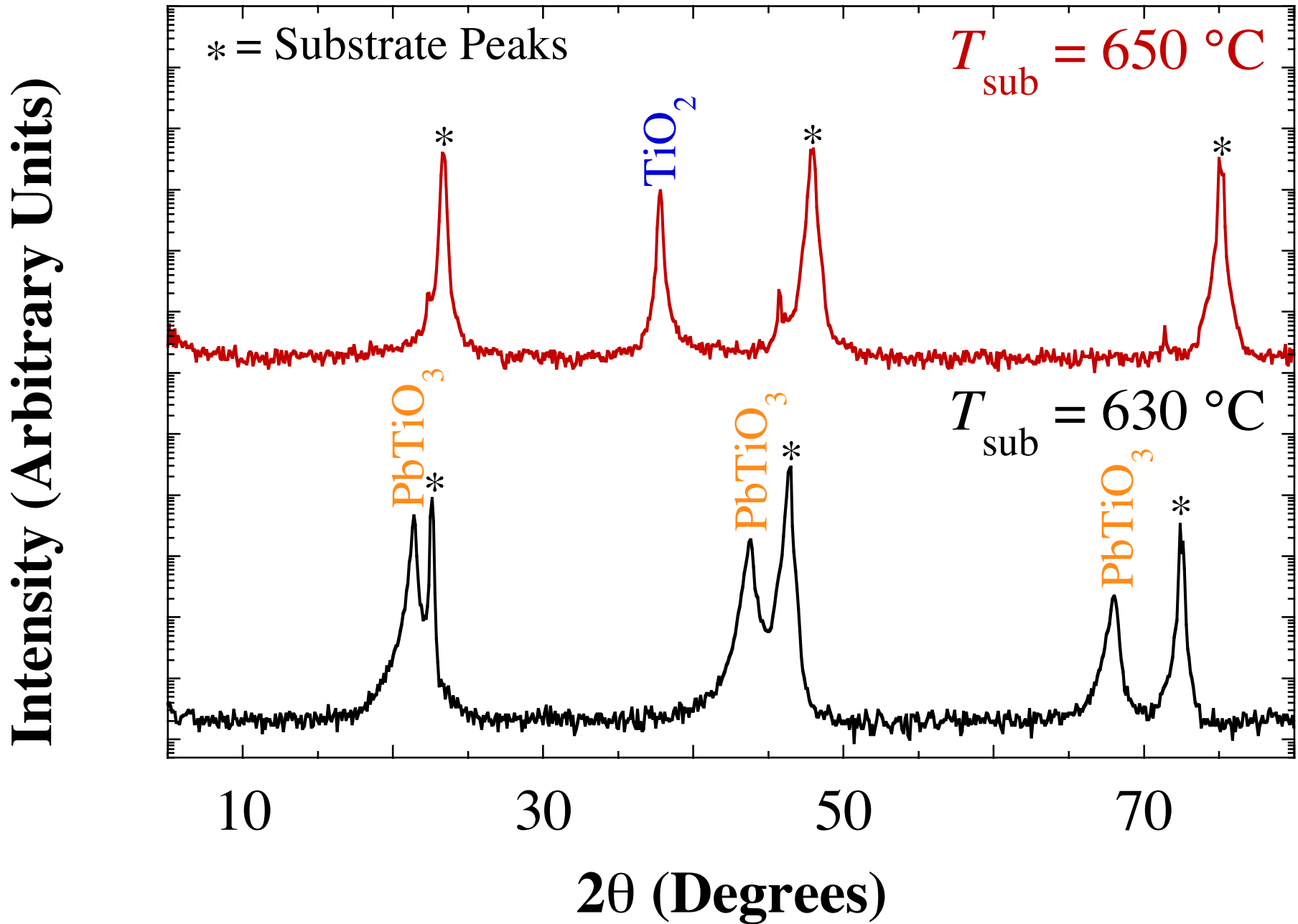
Adsorption-Controlled Growth of GaAs



Adsorption-Controlled Growth of PbTiO₃



Adsorption-Controlled Growth of PbTiO_3



Adsorption-Controlled Growth of

- **Plumbites**

- **PbTiO₃** — C.D. Theis *et al.*, *J. Cryst. Growth* **174** (1997) 473-479.
- **PbZrO₃** — (unpublished)

- **Bismuthates**

- **Bi₂Sr₂CuO₆** — S. Migita *et al.*, *Appl. Phys. Lett.* **71** (1997) 3712-3714.
- **Bi₄Ti₃O₁₂** — C.D. Theis *et al.*, *Appl. Phys. Lett.* **72** (1998) 2817-2819.
- **BiFeO₃** — J.F. Ihlefeld *et al.*, *Appl. Phys. Lett.* **91** (2007) 071922.
- **BiMnO₃** — J.H. Lee *et al.*, *Appl. Phys. Lett.* **96** (2010) 262905.
- **BiVO₄** — S. Stoughton *et al.*, *APL Materials* **1** (2013) 042112.
- **Bi₂Sn₂O₇** and **Bi₂Ru₂O₇** — (unpublished)

- **Ferrites**

- **LuFe₂O₄** — C.M. Brooks *et al.*, *Appl. Phys. Lett.* **101** (2012) 132907.

Adsorption-Controlled Growth of

- Ruthenates

- **SrRuO₃** — D.E. Shai *et al.*, *Phys. Rev. Lett.* **110** (2013) 087004.
- **Sr₂RuO₄** and **Ba₂RuO₄** — B. Burganov *et al.*, *Phys. Rev. Lett.* **116** (2016) 197003. H.P. Nair *et al.*, *APL Mater.* **6** (2018) 101108.
- **CaRuO₃** — H.P. Nair *et al.*, *APL Mater.* **6** (2018) 046101.
- **Ca₂RuO₄** — (unpublished)

- Iridates

- **Ba₂IrO₄** — M. Uchida *et al.*, *Phys. Rev. B* **90** (2014) 075142.
- **SrIrO₃** and **Sr₂IrO₄** — Y.F. Nie *et al.*, *Phys. Rev. Lett.* **114** (2015) 016401.

- Stannates

- **BaSnO₃** — H. Paik *et al.*, *APL Materials* **5** (2017) 116107.

- Other

- **EuO** — R.W. Ulbricht *et al.*, *Appl. Phys. Lett.* **93** (2008) 102105.

Adsorption-Controlled Growth of

- Titanates by MOMBE

- SrTiO_3 — B. Jalan *et al.*, *Appl. Phys. Lett.* **95** (2009) 032906.
- GdTiO_3 — P. Moetakef *et al.*, *J. Vac. Sci. Technol. A* **31** (2013) 041503.
- BaTiO_3 — Y. Matsubara *et al.*, *Appl. Phys. Express* **7** (2014) 125502.
- CaTiO_3 — R.C. Haislmaier *et al.*, *Adv. Funct. Mater.* **26** (2016) 7271.

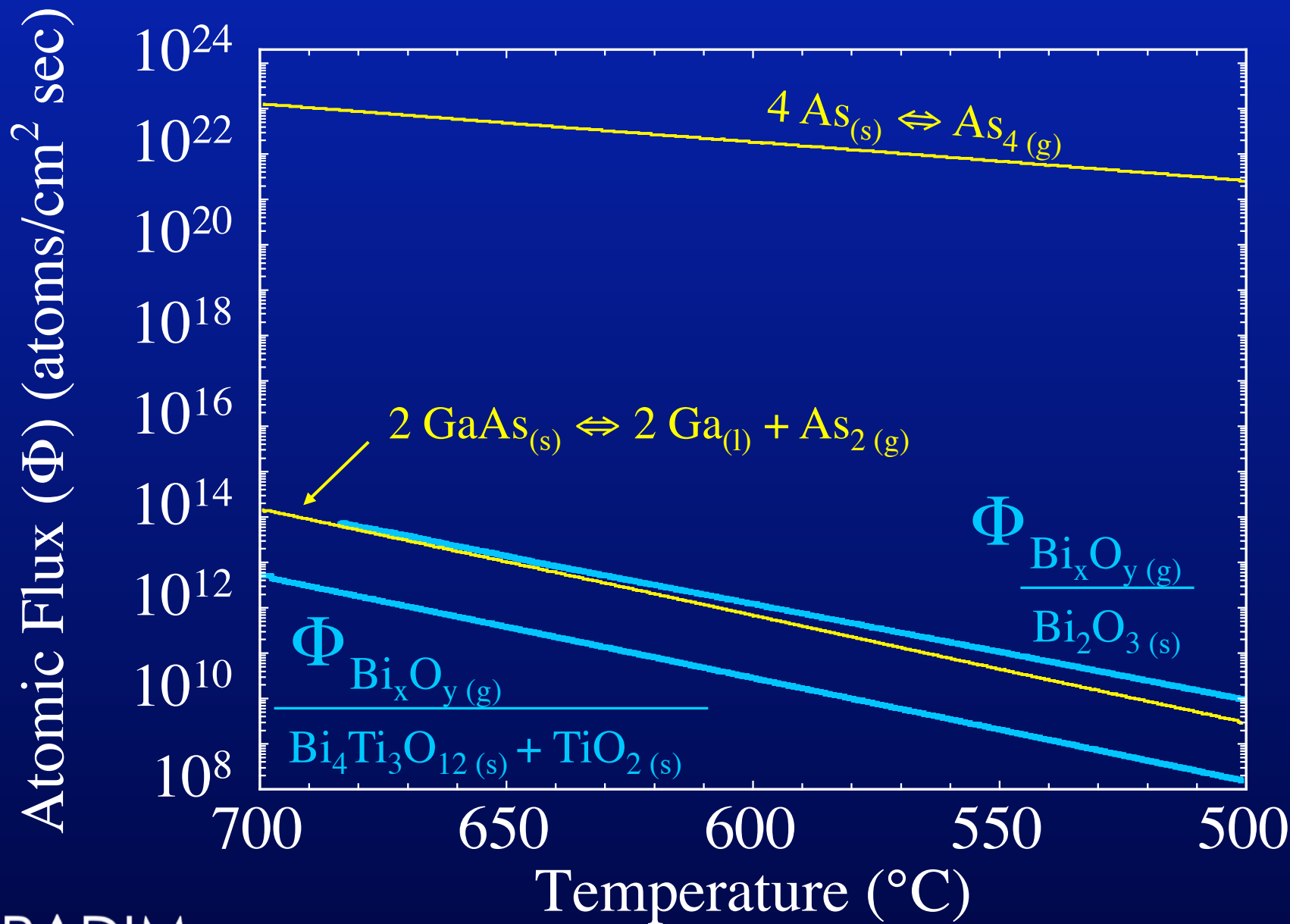
- Vanadates by MOMBE

- LaVO_3 — H.-T. Zhang *et al.*, *Appl. Phys. Lett.* **106** (2015) 233102.
- $(\text{La},\text{Sr})\text{VO}_3$ — M. Brahlek *et al.*, *Appl. Phys. Lett.* **109** (2016) 101903.

- Stannates by MOMBE

- BaSnO_3 — A. Prakash *et al.*, *J. Mater. Chem. C* **5** (2017) 5730 .

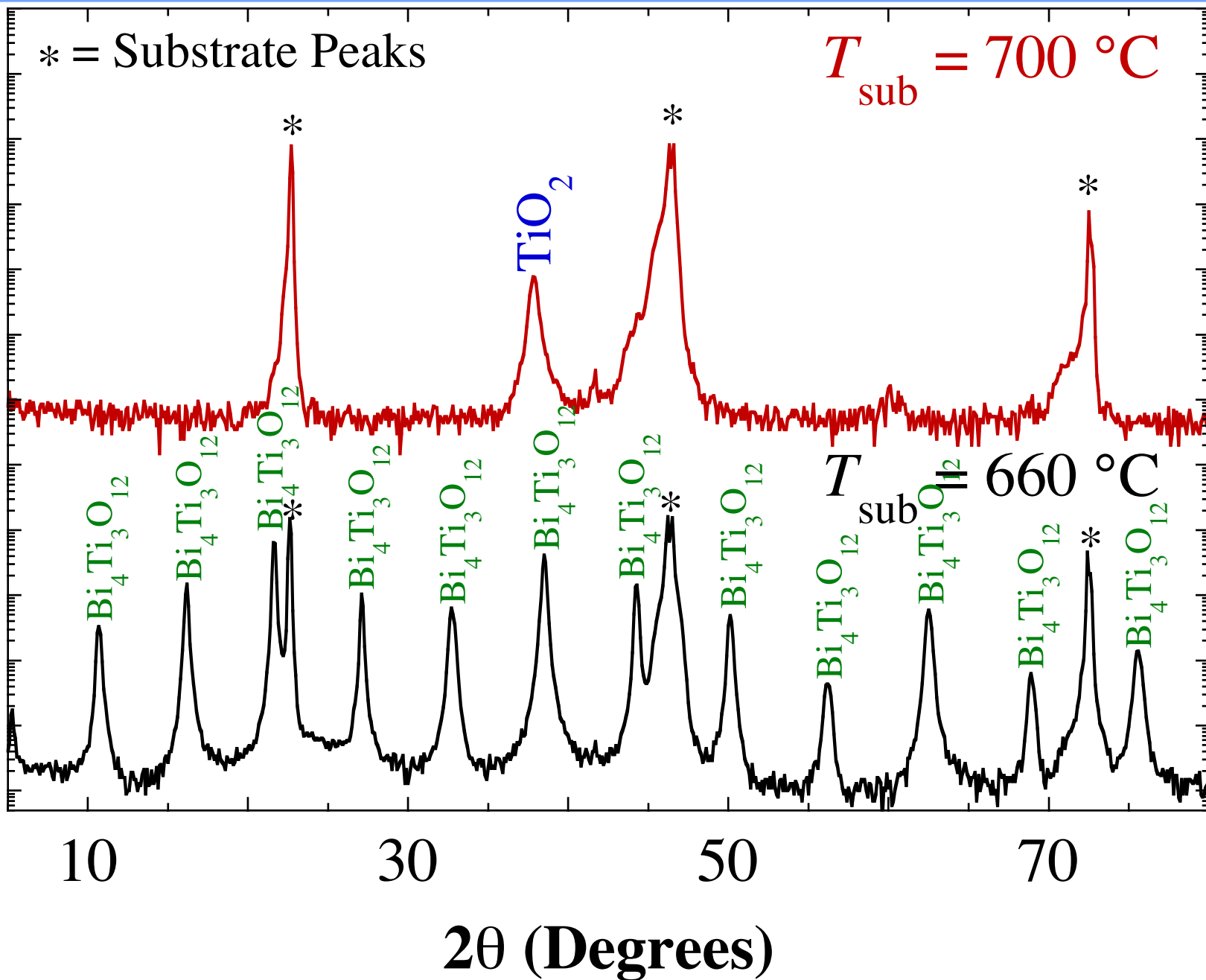
Growth of $\text{Bi}_4\text{Ti}_3\text{O}_{12}$ by MBE



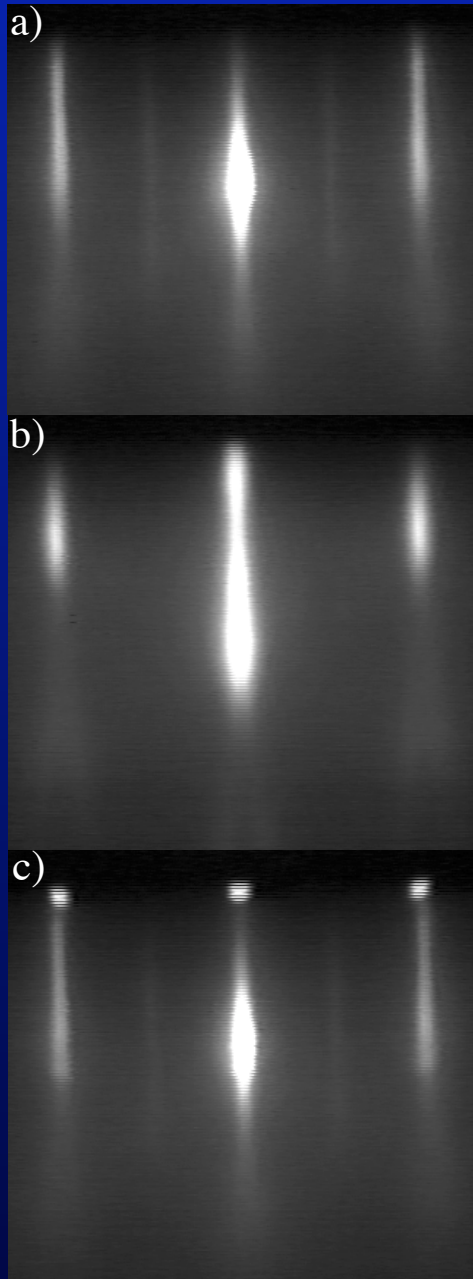
D.G. Schlom, J.H. Haeni, J. Lettieri, C.D. Theis, W. Tian, J.C. Jiang, and X.Q. Pan, *Mater. Sci. Eng. B* **87** (2001) 282-291.

Adsorption-Controlled Growth of $\text{Bi}_4\text{Ti}_3\text{O}_{12}$

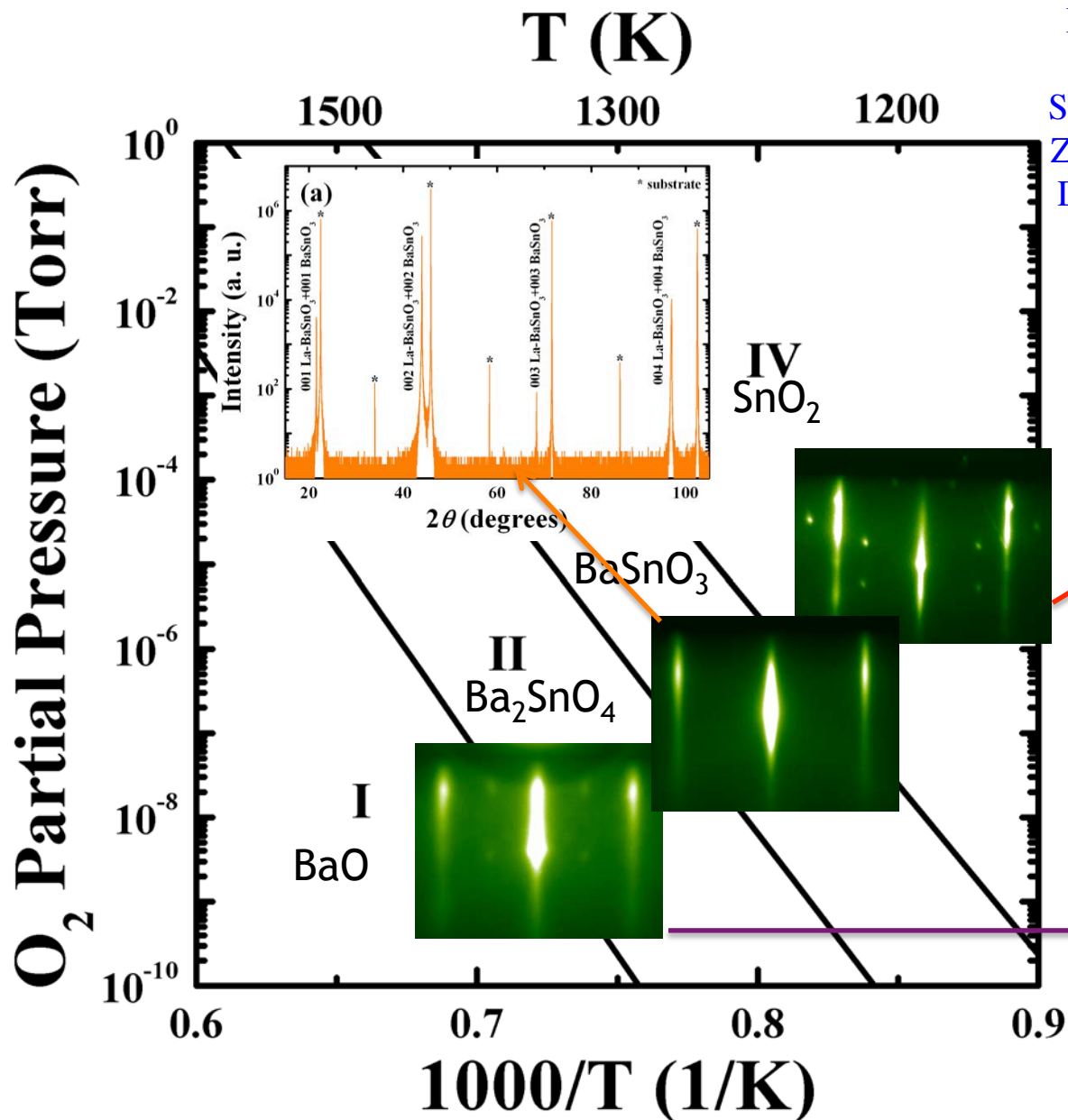
Intensity (Arbitrary Units)



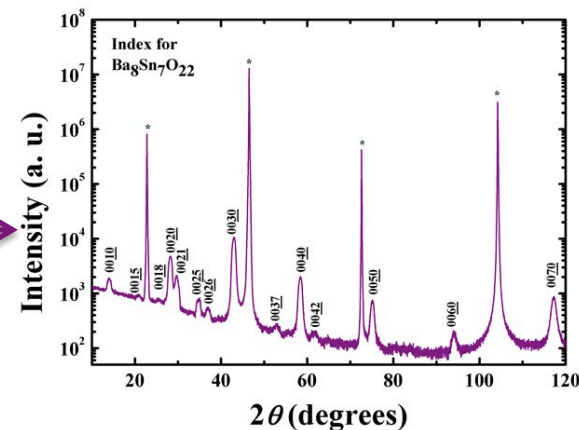
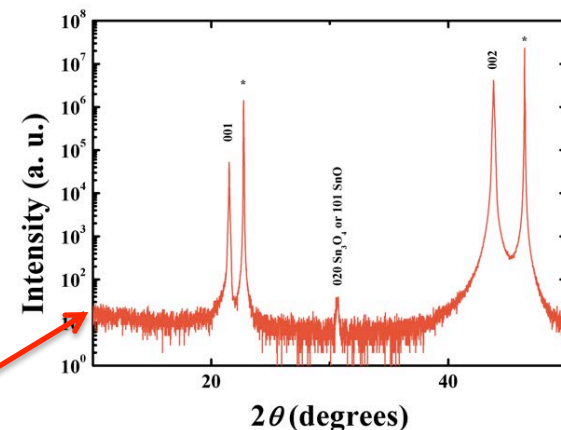
Adsorption-Controlled Growth of $\text{Bi}_4\text{Ti}_3\text{O}_{12}$



Adsorption-Controlled Growth of BaSnO₃

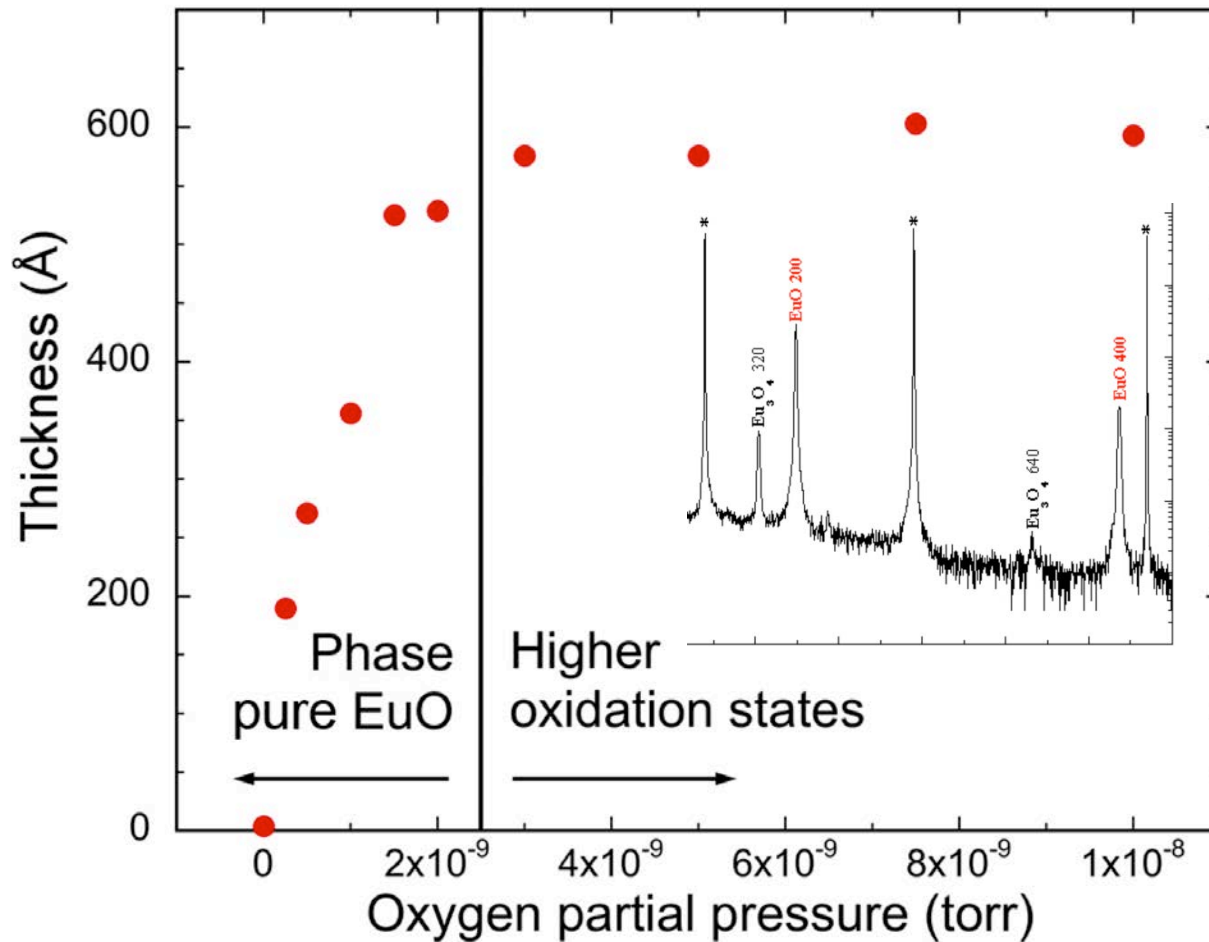


H. Paik, Z. Chen, E. Lochocki, A. Seidner H.,
 A. Verma, N. Tanen, J. Park, M. Uchida,
 S.L. Shang, B-C. Zhou, M. Brützm, R. Uecker,
 Z.K. Liu, D. Jena, K.M. Shen, D.A. Muller, and
 D.G. Schlom, *APL Materials* **5** (2017) 116107.



Adsorption-Controlled Growth of EuO

Eu Flux = 1.1×10^{14} Eu atoms/($\text{cm}^2 \text{ s}$), $T_{\text{sub}} = 590 \text{ }^\circ\text{C}$
EuO film thickness (from RBS) after 30 min



YAlO₃ without Eu flux (a)

YAlO₃ with Eu flux (b)

with EuO deposition (c)

Adsorption-Controlled SrTiO₃

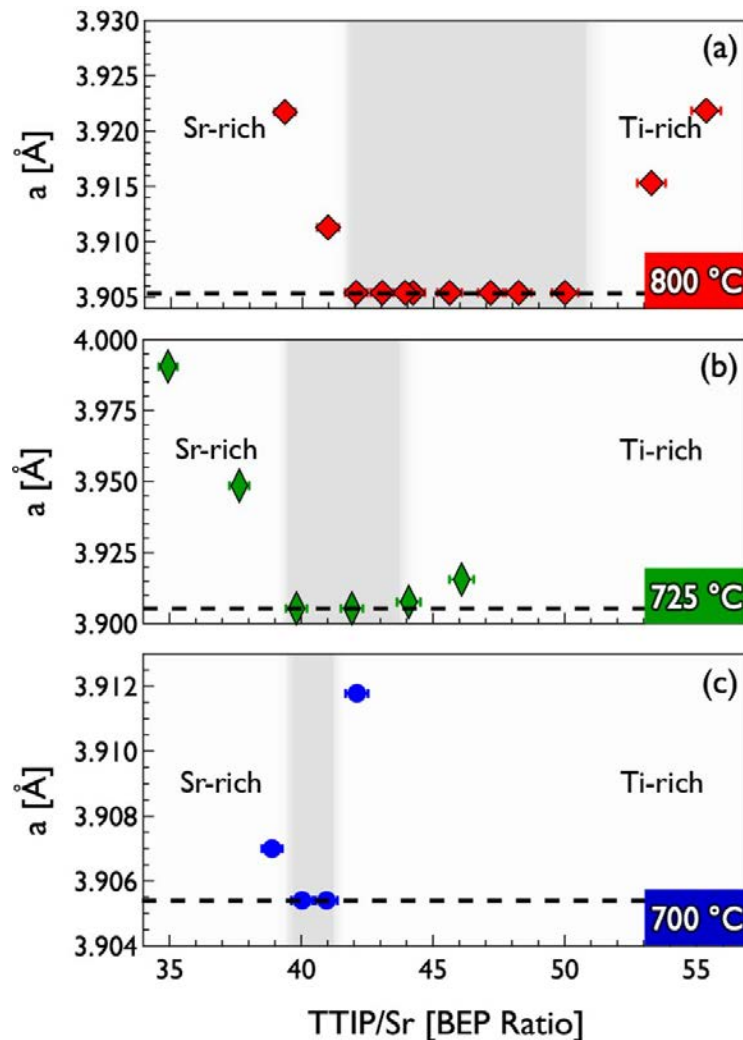


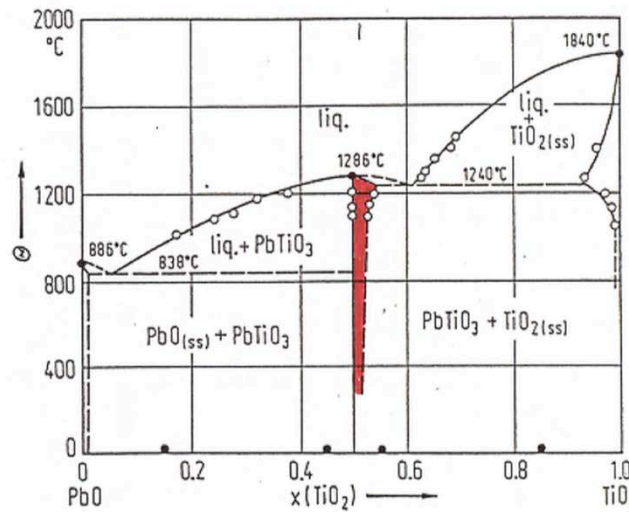
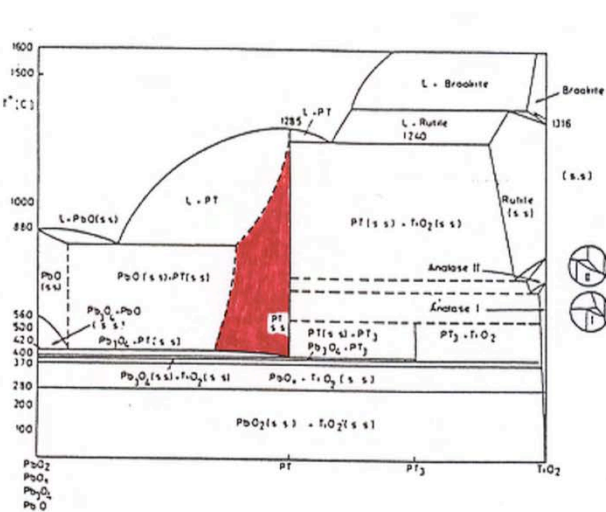
FIG. 3. (Color online) Out-of-plane lattice parameter as a function of TTIP/Sr BEP ratio for epitaxial SrTiO₃ films grown on (001)SrTiO₃ at (a) 800 °C, (b) 725 °C, and (c) 700 °C. All films were grown using an oxygen BEP of 8×10^{-6} torr. The darker gray-shaded region shows the growth window for stoichiometric films with a lattice parameter that is equivalent to that of the substrate at each temperature.

MOMBE Sources

Sr
Ti(OC₃H₇)₄
Oxygen Plasma

B. Jalan, P. Moetakef, and S. Stemmer,
Applied Physics Letters **95** (2009) 032906.

Single-Phase Field of GaAs vs. PbTiO_3



GaAs

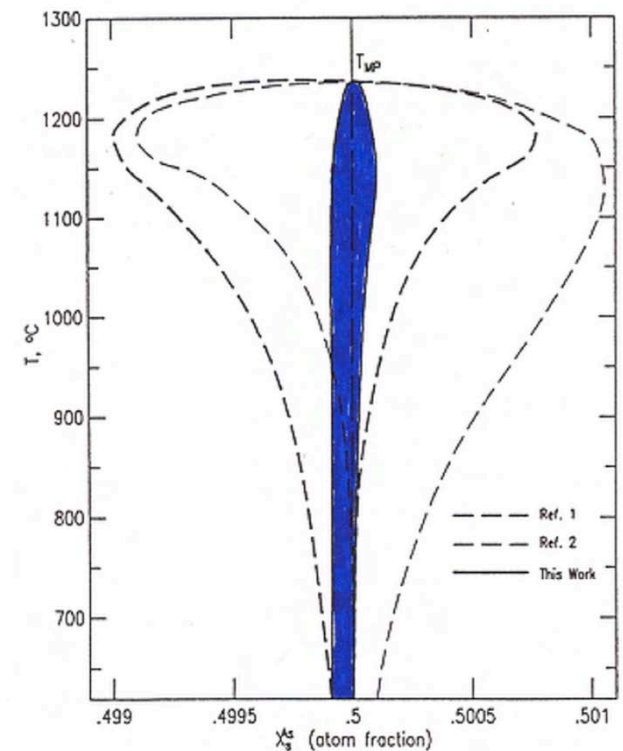


Fig. 8337—GaAs solidus curve. Curves represent the calculated deviations from stoichiometry for solid GaAs. A. I. Ivashchenko, F. Ya. Kopanskaya, and G. S. Kuzmenko, *J. Phys. Chem. Solids*, 45 [8-9] 871-875 (1984).

M.A. Eisa, M.F. Abadir, and A.M. Gadalla, *Transactions and Journal of the British Ceramic Society* **79** (1980) 100–104.

R.L. Holman, *Ferroelectrics* **14** (1976) 675–678.

PbTiO_3

Single-phase film does not imply stoichiometric film

Phase Diagrams for Ceramists, Vol. 9, edited by G.B. Stringfellow (American Ceramic Society, Westerville, 1992) p. 126.

III-V Phase Diagrams

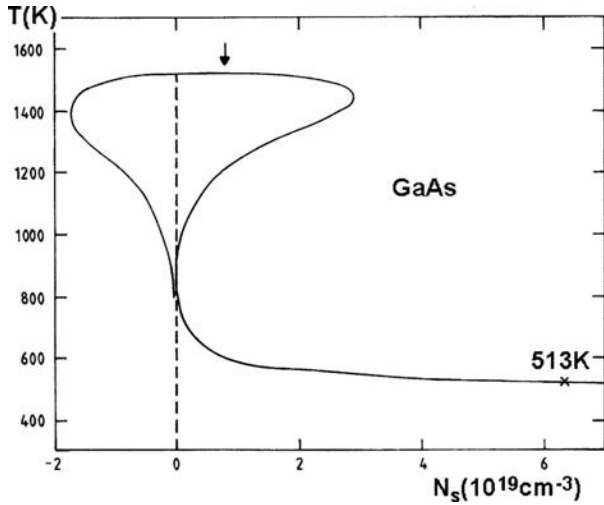


FIG. 2. The calculated solidus of gallium arsenide showing the catastrophic deviation from stoichiometry at low temperature under arsenic-rich conditions. Arrow marks the congruent point.

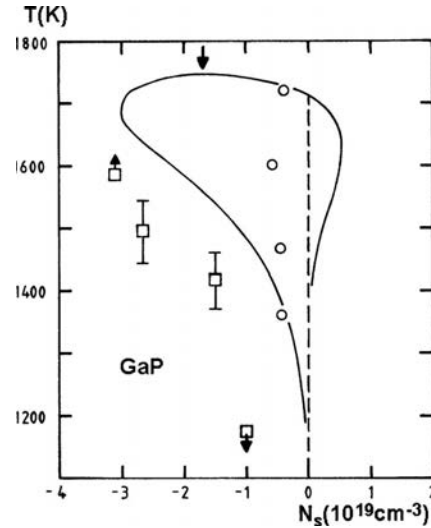


FIG. 3. Calculated GaP solidus. Arrow marks the congruent point. Experimental data: Jordan *et al.* (○); Morozov *et al.* (□).

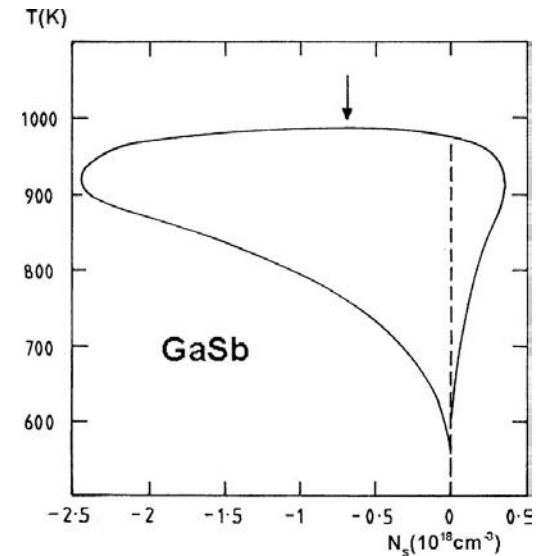


FIG. 5. Calculated GaSb solidus. Arrow marks the congruent point.

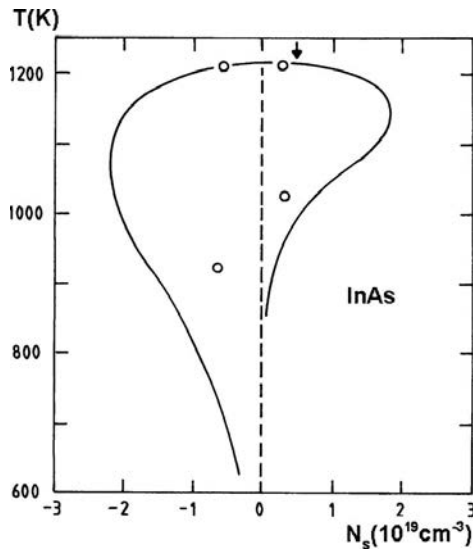


FIG. 7. Calculated InAs solidus. Arrow marks the congruent point. Data points: Bublik *et al.* (○).

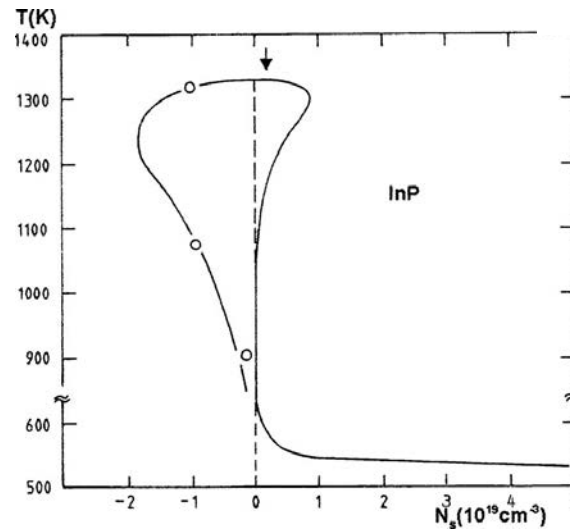


FIG. 8. Calculated InP solidus. Arrow marks the congruent point. Data points: Morozov *et al.* (Ref. 34) (○).

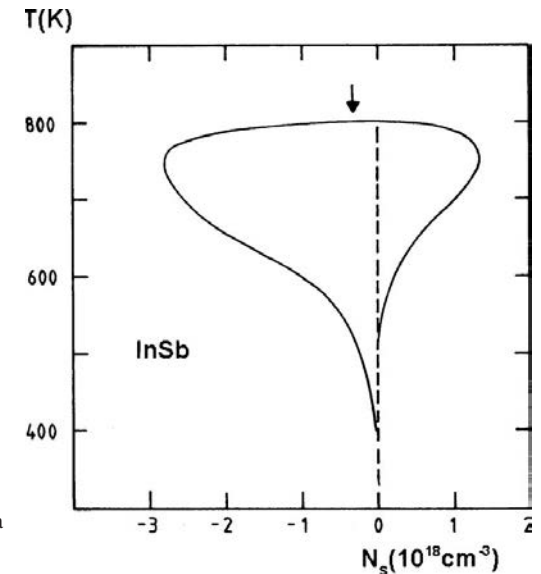
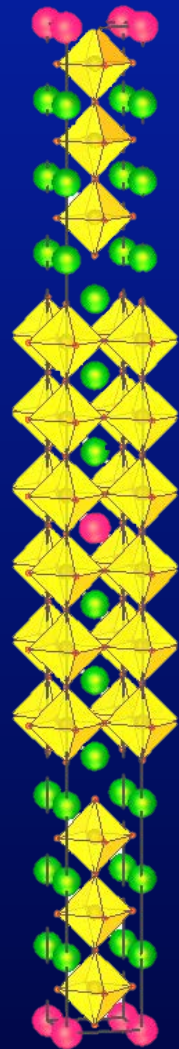
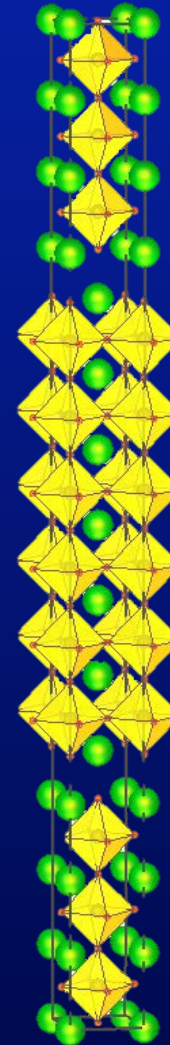


FIG. 9. Calculated InSb solidus. Arrow marks the congruent point.

Challenge

What if the oxide you desire cannot be grown by adsorption-control?



Composition Control

- Adsorption-Controlled Growth
- **Flux-Controlled Growth**

Reflection High-Energy Electron Diffraction (RHEED) Oscillations

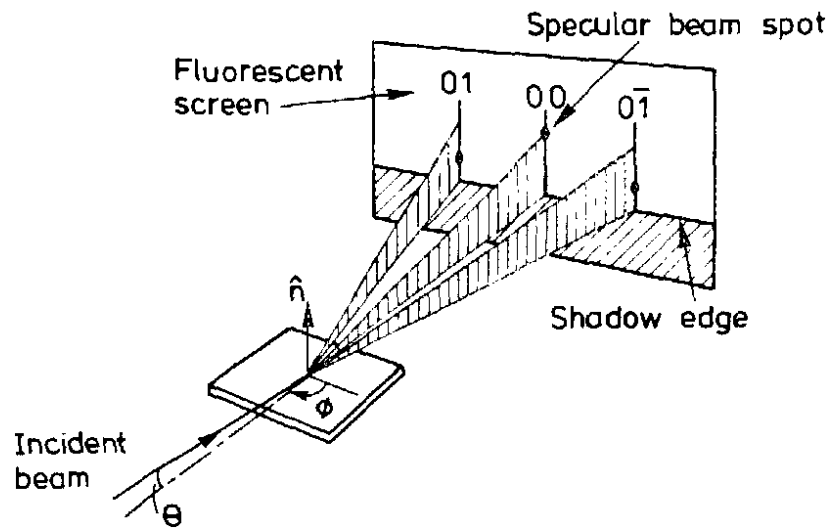
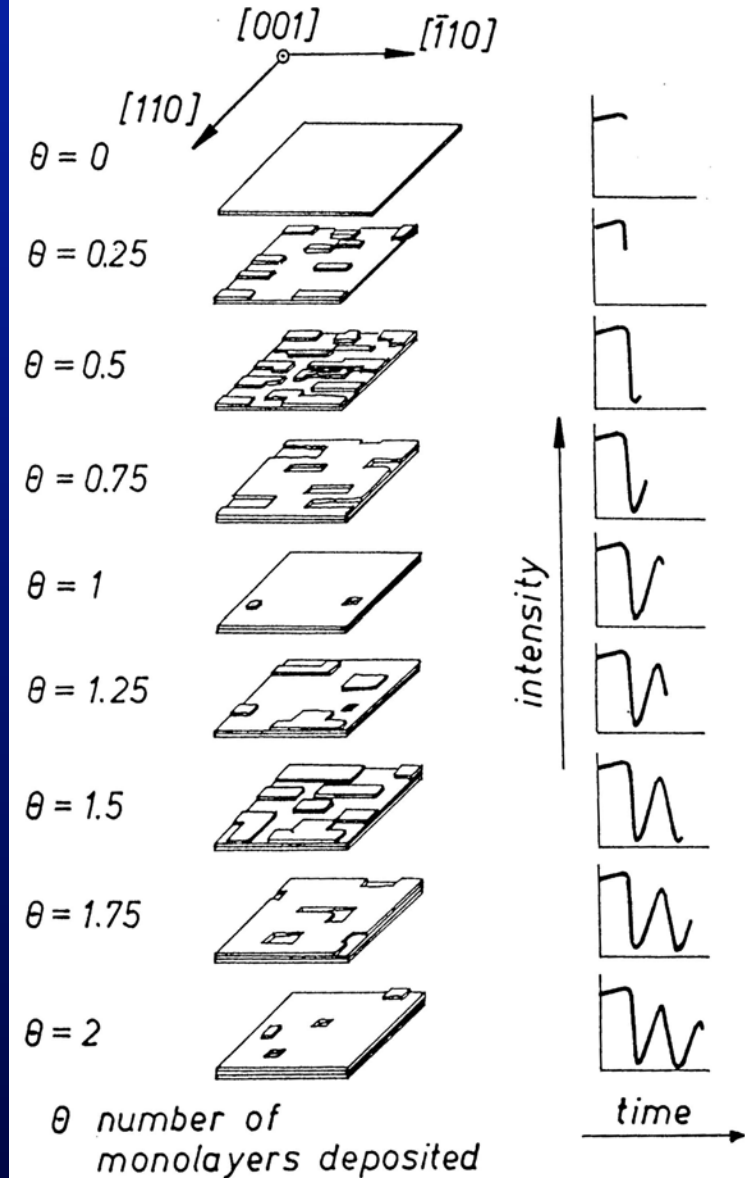


FIG. 1. Schematic diagram of RHEED geometry showing the incident beam at an angle θ to the surface plane; azimuthal angle φ . The elongated spots indicate the intersection of the Ewald sphere with the 01 , 00 , and $0\bar{1}$ rods.

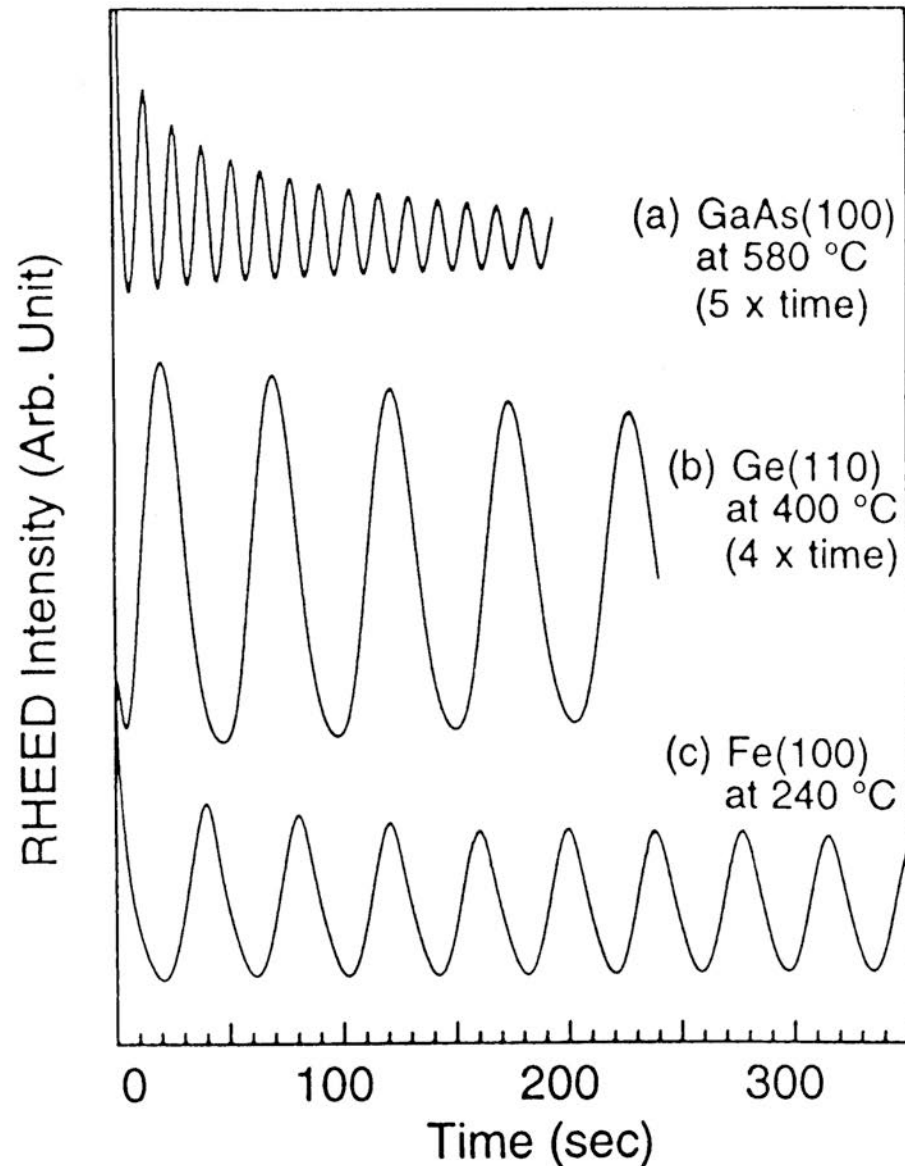
B. Bölger and P. K. Larsen

Review of Scientific Instruments **57** (1986) 1363-1367.

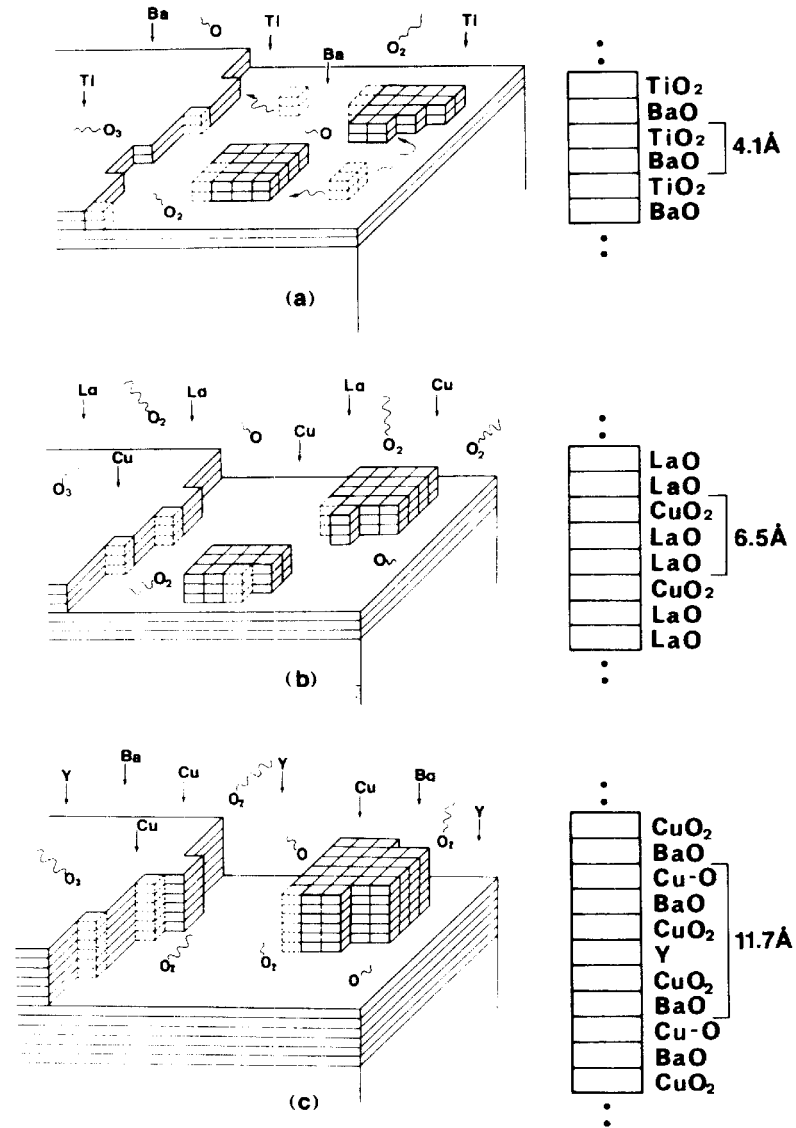
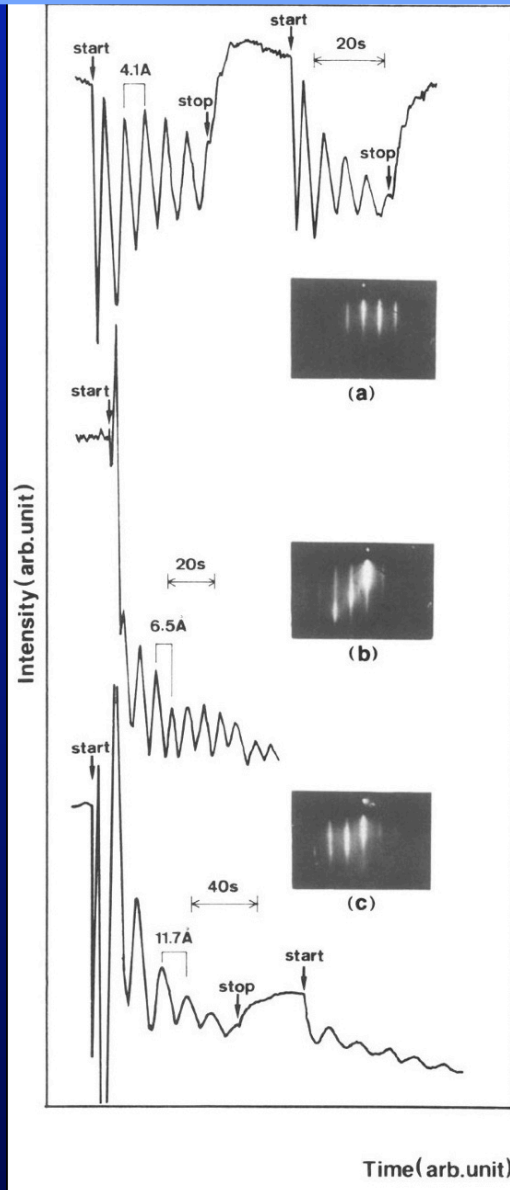
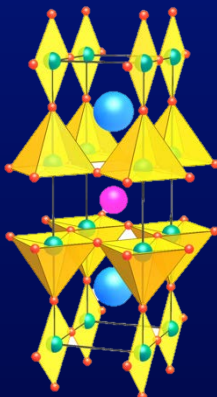
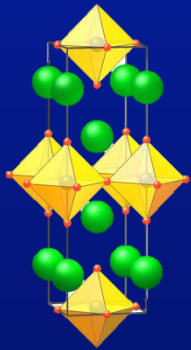
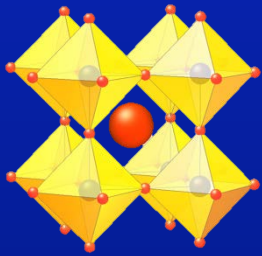
B.A. Joyce, P.J. Dobson, J.H. Neave,
K. Woodbridge, J. Zhang,
P.K. Larsen, and B Bölger,
Surface Science **168** (1986) 423-438.



Conventional RHEED Oscillations

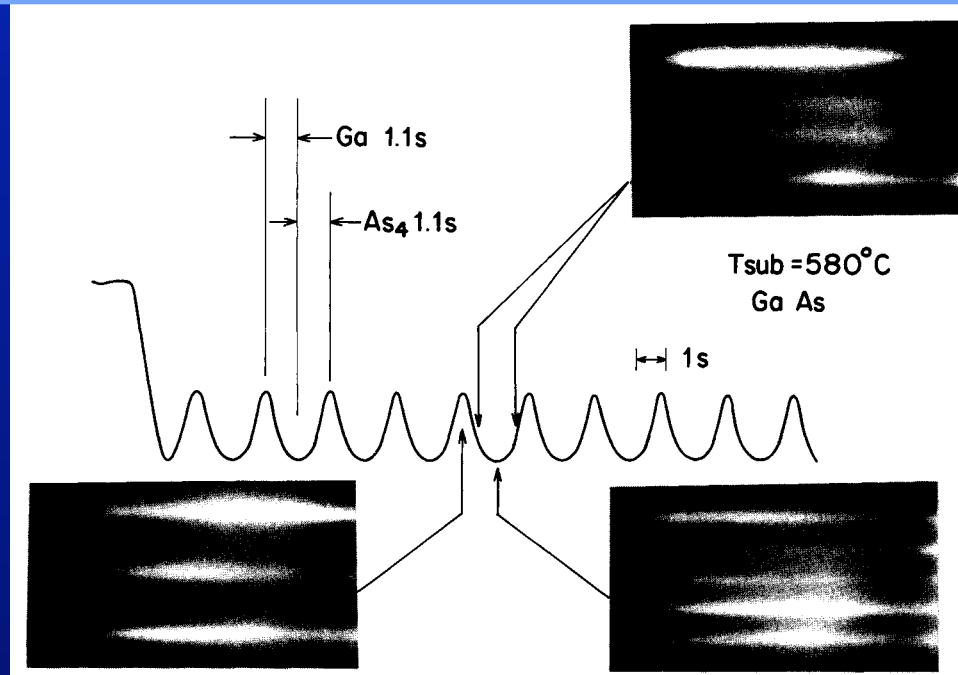


Conventional RHEED Oscillations



Migration-Enhanced Epitaxy

Y. Horikoshi, M. Kawashima, and H. Yamaguchi
“Migration-Enhanced Epitaxy of GaAs and AlGaAs”
Japanese Journal of Applied Physics 27 (1988) 169–179.

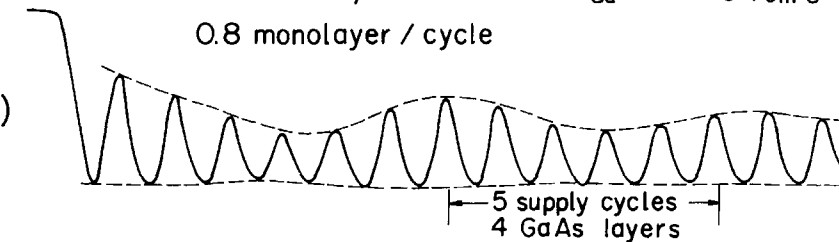


GaAs
or
(Al,Ga)As

$T_s = 580^\circ\text{C}$

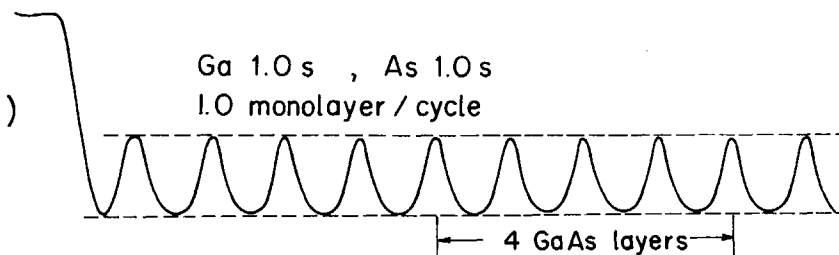
Ga 0.8 s , As 0.8 s $J_{\text{Ga}} = 6.4 \times 10^{14} / \text{cm}^2 \cdot \text{s}$
0.8 monolayer / cycle

(a)



(b)

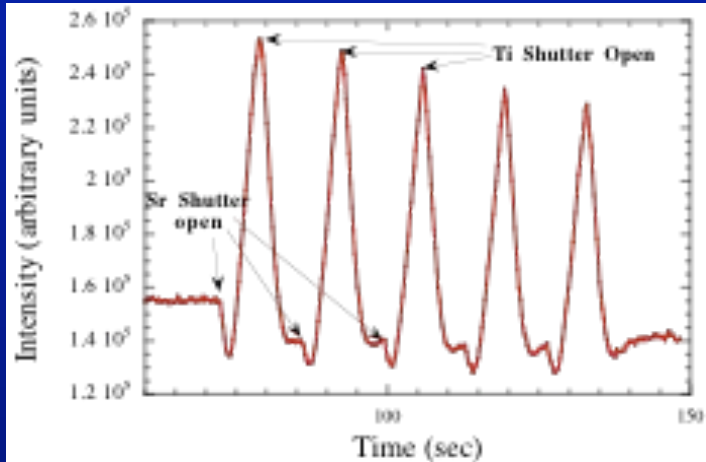
Ga 1.0 s , As 1.0 s
1.0 monolayer / cycle



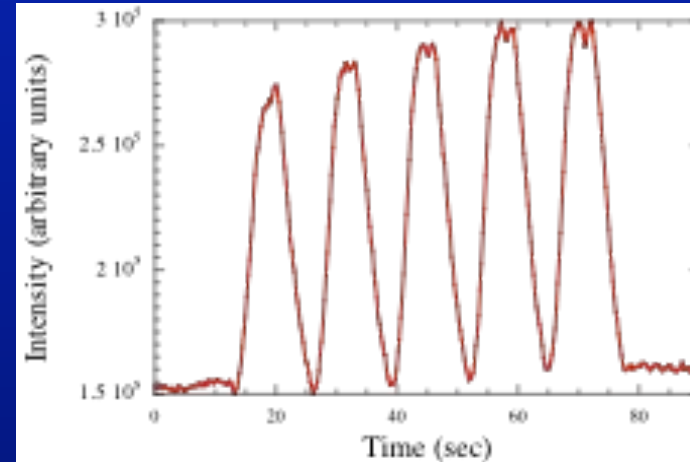
Shuttered RHEED to get Sr:Ti = 1:1

J.H. Haeni, C.D. Theis, and D.G. Schlom
Journal of Electroceramics 4 (2000) 385–391.

3 % Ti Rich

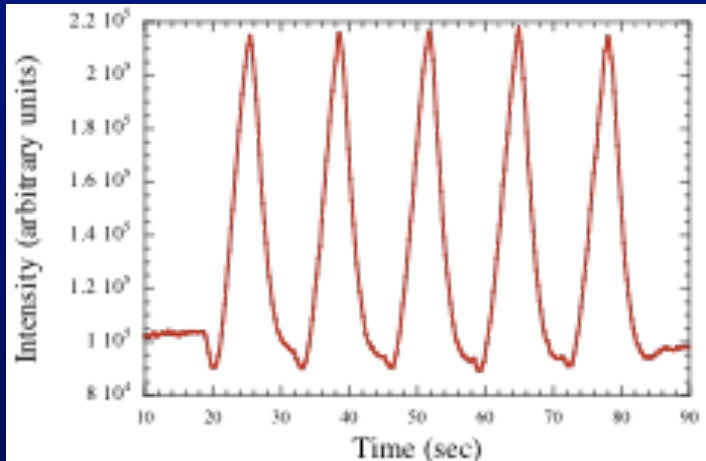


3 % Ti Poor



SrTiO_3

Stoichiometric



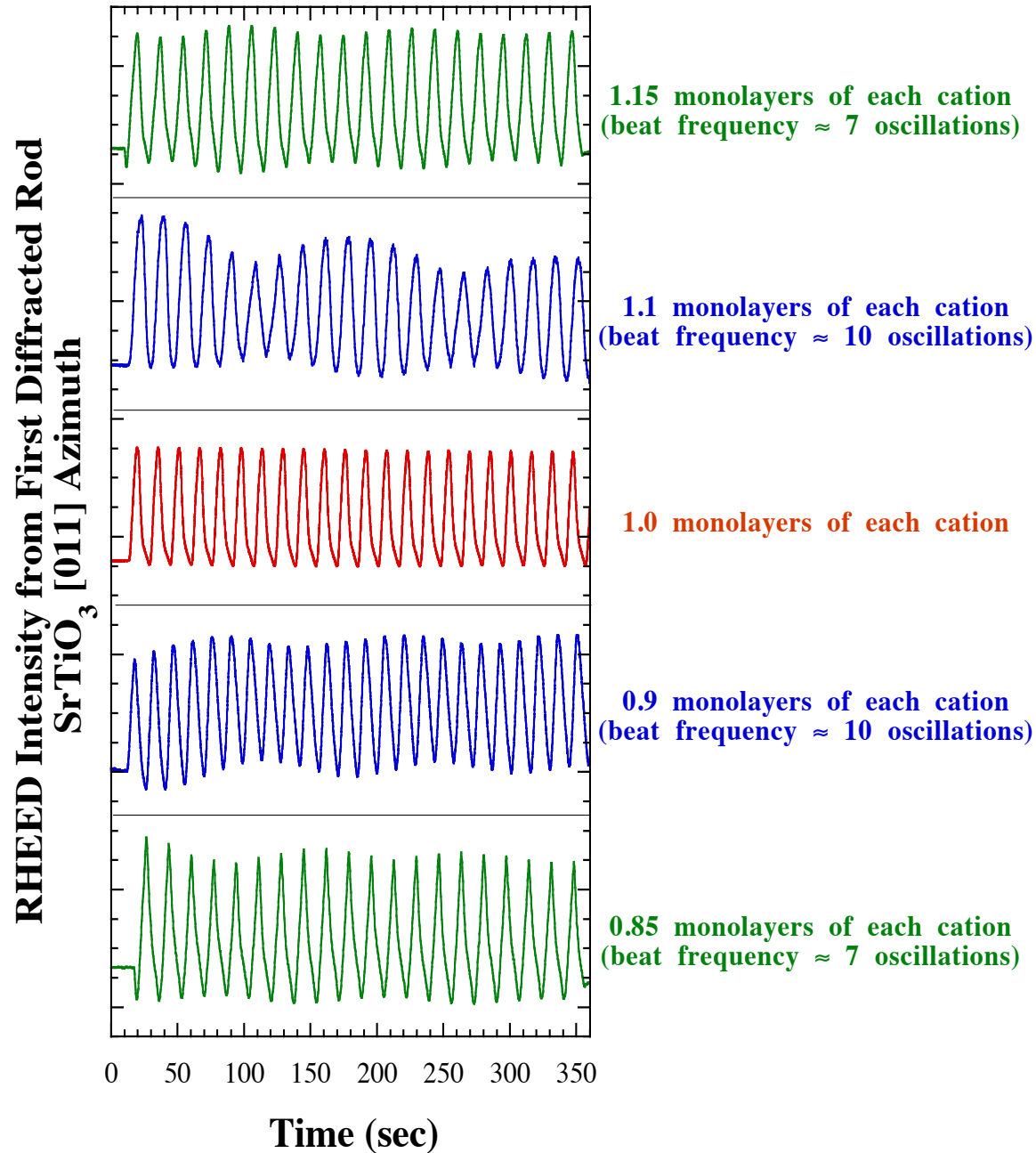
SrTiO_3 [011] Azimuth



Oscillations of the central diffracted rod as the Sr and Ti are deposited in a sequential manner

Beat Frequency for Sr:Ti = 1:1 Absolute

J.H. Haeni, C.D. Theis, and D.G. Schlom
Journal of Electroceramics 4 (2000) 385–391.

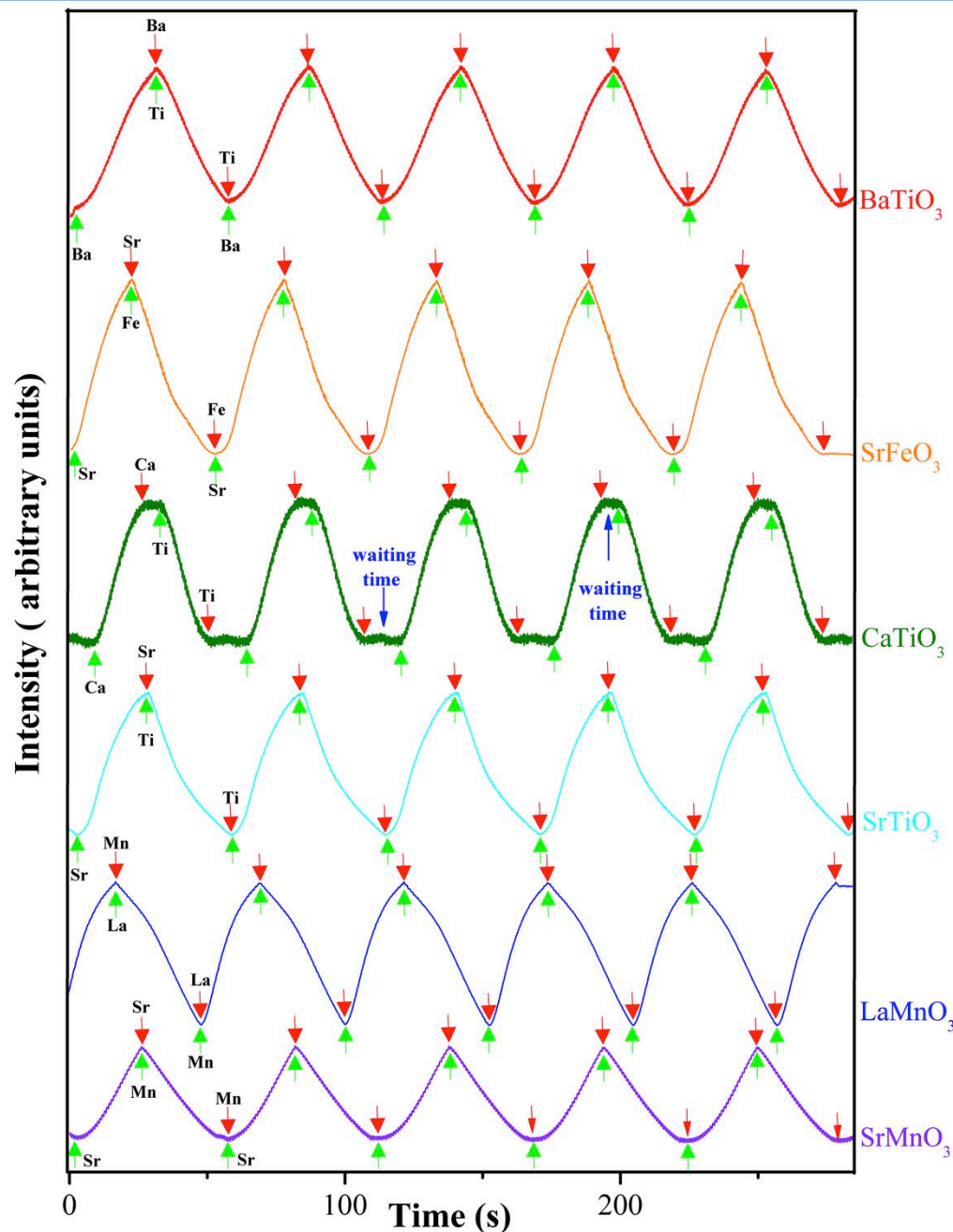


SrTiO₃

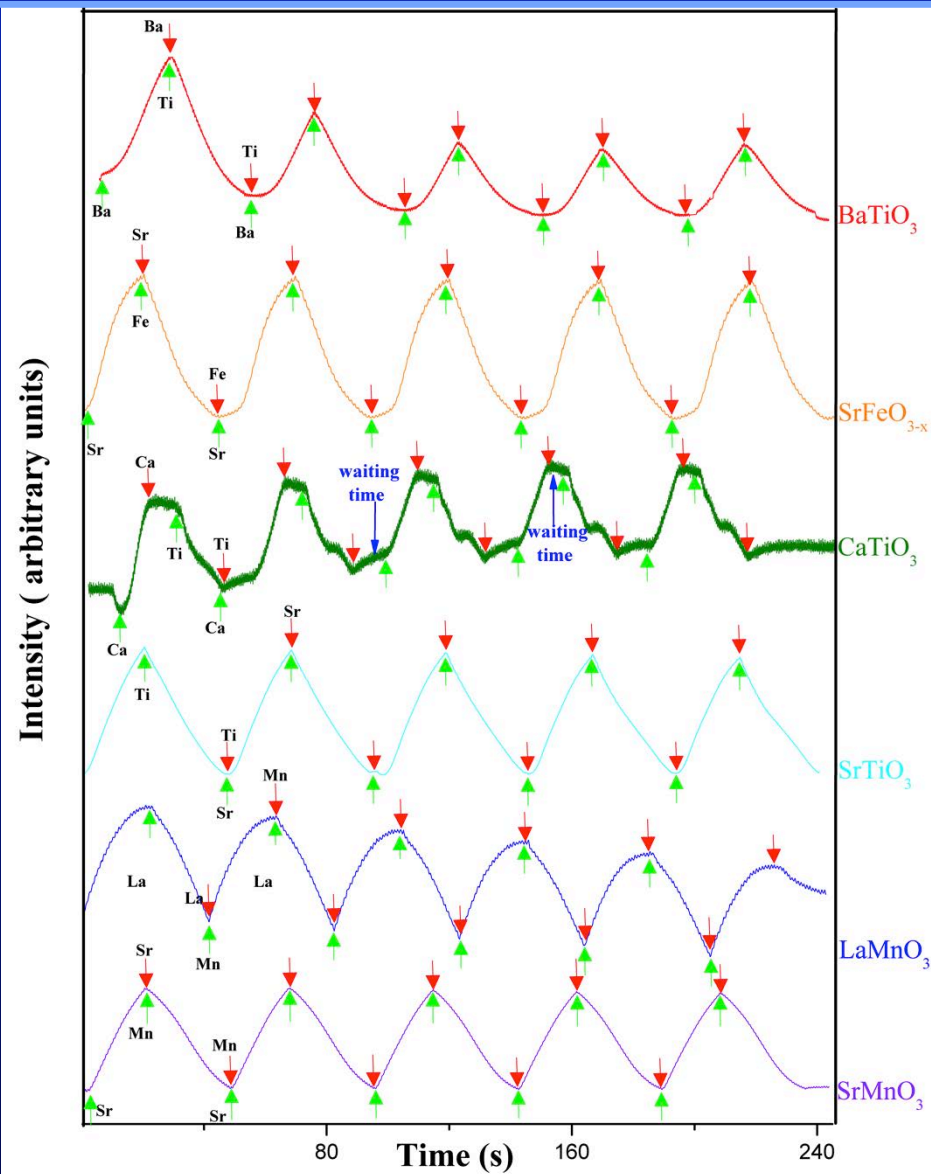
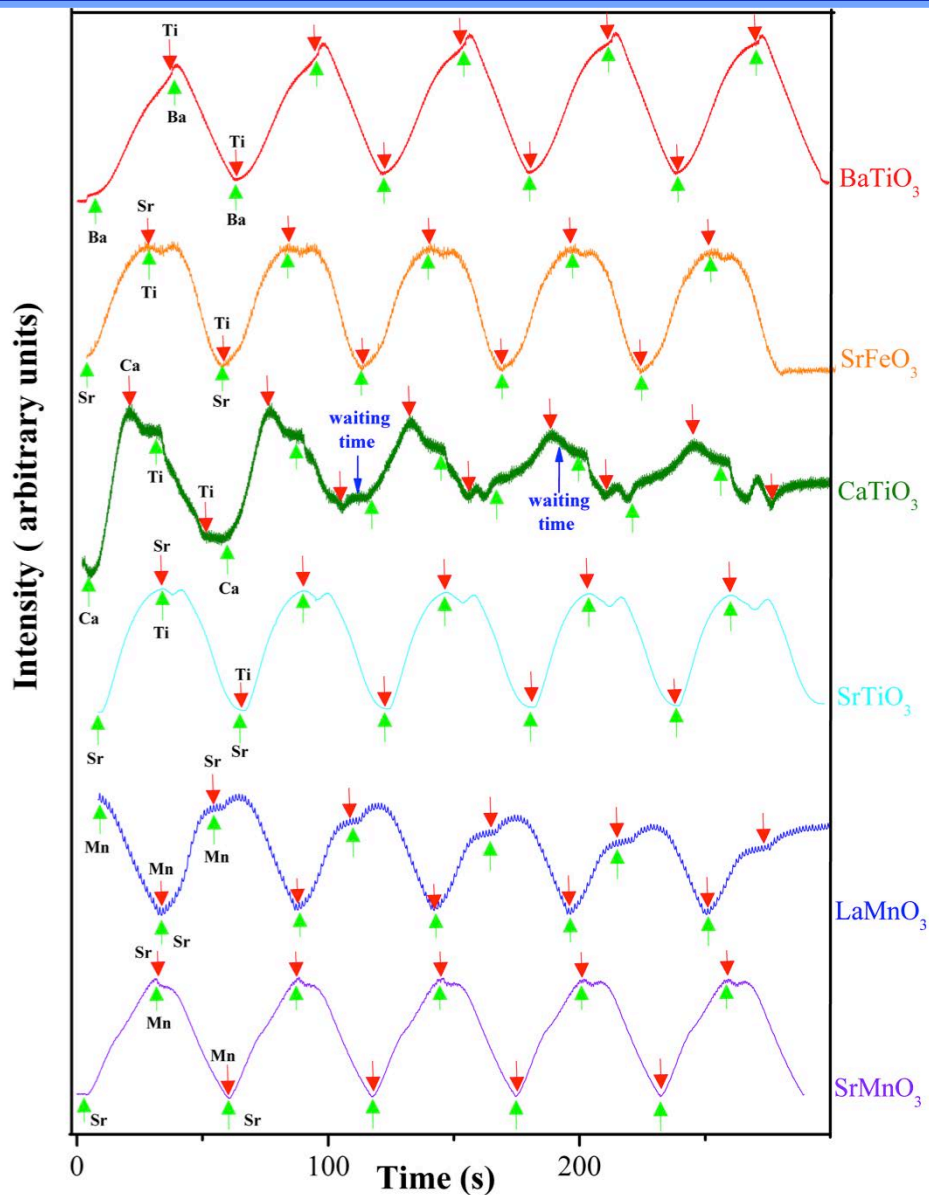
How we do it

- Use Quartz Crystal Microbalance to Get Fluxes Close (~10% accuracy)
- Use Shuttered RHEED Oscillations (analogous to MEE of GaAs)
- Yields Sr:Ti *Relative* Incorporation Ratio (~1% accuracy)
- Yields *Absolute* Monolayer Dose for SrO and TiO₂ (~1% accuracy)
- Works for many Perovskites

Shuttered RHEED Oscillations

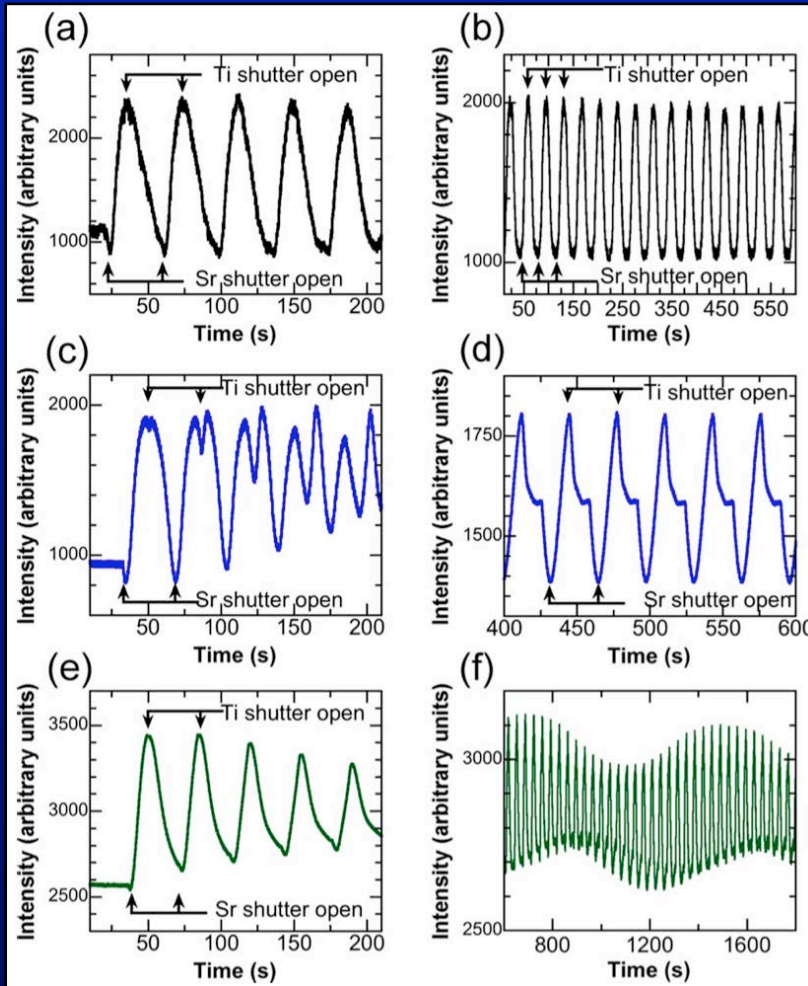


Shuttered RHEED Oscillations



Shuttered RHEED Calibration

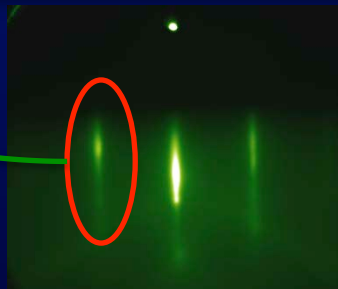
SrTiO_3



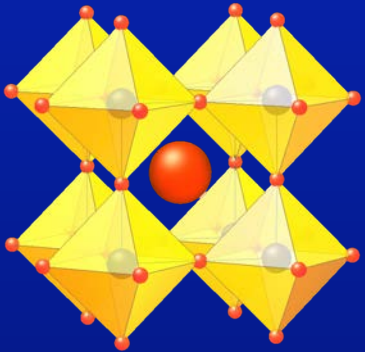
+10% Sr

-10% Sr

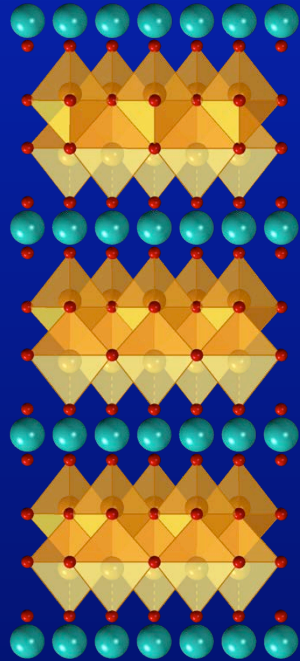
- Deposition of superlattices and layered structures requires precise control to achieve perfect layer termination
- Calibration of beam flux with quartz crystal monitor not precise enough
- Intensity variation of electron diffraction (RHEED) pattern during deposition of one unit cell can be used for flux calibration
- Very time-consuming process, calibration can easily take 8 hours



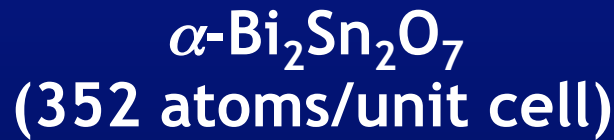
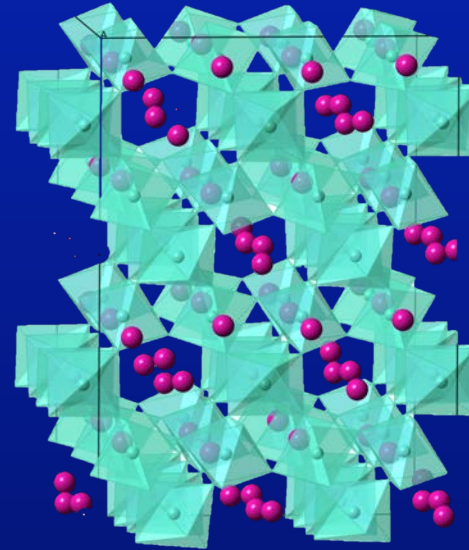
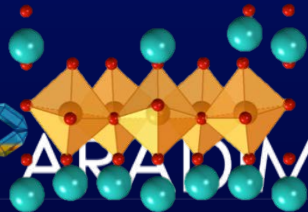
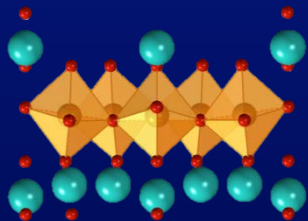
Examples of Oxides we Grow



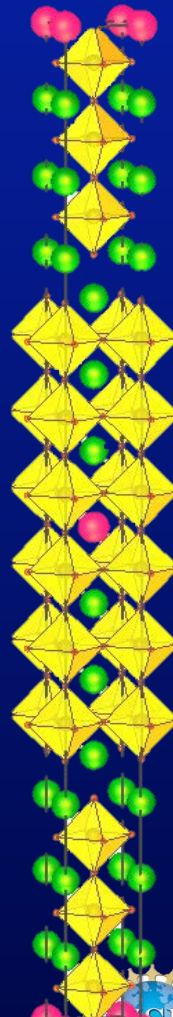
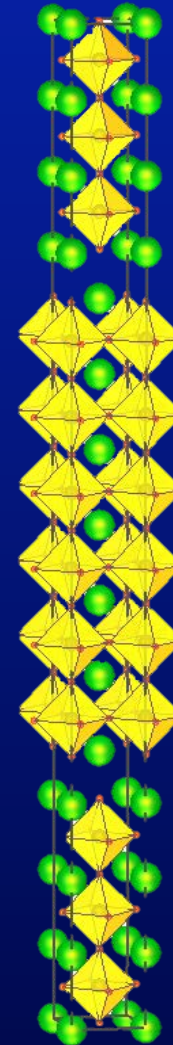
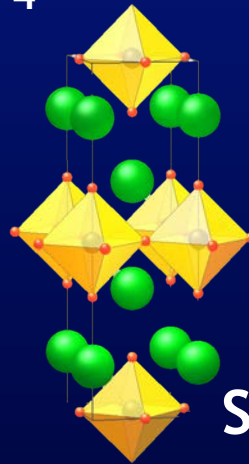
today's record
transparent transistors



today's record
room-temperature
multiferroic
(superlattices)



leading
candidate
odd-parity
topological
superconductor



today's record
tunable microwave dielectric